



THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP 2815  
#9 / 185  
10-2-03  
Article

In re the Application of:

**GOTO, Takenori**

Group Art Unit: 2815

Serial No.: 09/888,419

Examiner: NGUYEN, Joseph H.

Filed: June 26, 2001

P.T.O. Confirmation No.: 9498

For: **NITRIDE BASED SEMICONDUCTOR LASER DEVICE AND METHOD OF  
FABRICATING THE SAME**

**INFORMATION DISCLOSURE STATEMENT AND STATEMENT  
PURSUANT TO 37 CFR 1.97(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Group Director

September 15, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

The undersigned hereby certifies

XX that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



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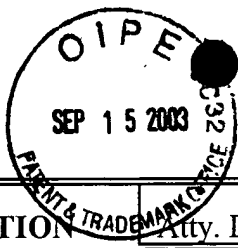
SK/fs  
Atty. Docket No. **010802**  
Suite 1000  
1725 K Street, N.W.  
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**23850**

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and 2 references  
Japanese Office Action dated July 8, 2003 (with English translation)



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. <b>010802</b>	Serial No. <b>09/888,419</b>
	Applicant(s): <b>GOTO, Takenori</b>	
	Filing Date: <b>June 26, 2001</b>	Group Art Unit: <b>2815</b>

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 6-79172 (U)	11/ 4/1994	Japan	Yes (Partial)
_____ AG 11-284282	10/15/1999	Japan	Yes (Abstract)
_____ AH			
_____ AI			
_____ AJ			

### OTHER DOCUMENTS

_____	AK	
_____	AL	
Examiner _____ Date Considered _____		